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Supreme Court No. <u>102311-</u>1 COA 382176-III

IN THE SUPREME COURT OF THE STATE OF WASHINGTON

STATE OF WASHINGTON,
Respondent,
v.
DARREN STANLEY HARRIS,
Appellant.

PETITION FOR REVIEW

Tamara A. Hanlon, WSBA #28345 Senior Deputy Prosecuting Attorney Attorney for Respondent

JOSEPH BRUSIC Yakima County Prosecuting Attorney 128 N. 2d St. Rm. 329 Yakima, WA 98901-2621

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A. IDENTITY OF RESPONDENT

The Respondent is the State of Washington.

B. COURT OF APPEALS DECISIONS

The Respondent asks this Court to grant review of the published court of appeals decision filed on July 25, 2023 in Division Three of the Court of Appeal, *State v. Harris*, 533 P.3d 135 (2023). *See* Appendix A. On that same date, Division Three denied the State's motion for reconsideration. *See id*.

C. ISSUES PRESENTED FOR REVIEW

- 1. Should this court grant review under RAP 13.4(b)(4) so it can provide a proper interpretation of *Houston-Sconiers* in the context of an agreed plea recommendation?
- 2. Under RAP 13.4(b)(1), does Division Three's holding conflict with *Houston-Sconiers* in finding that the case gives rise to error in Harris's 2012 negotiated plea agreement and sentence and that the error was not harmless?
- 3. Should this Court grant review under RAP 13.4(b)(1) and (2) because the opinion below conflicts with long-standing case law that a voluntary guilty plea intelligently made in light of then applicable law does not become vulnerable because of later judicial decisions?

D. STATEMENT OF THE CASE

In 2011, Darren S. Harris, who was less than 2 months shy of his 18th birthday, stabbed Luis Negrete Morales approximately 21 times while the two were sitting in the front seat of the victim's vehicle. RP 12-14, 19. Harris then took the victim's wallet, which contained about sixty dollars. RP 2, 17. Mr. Morales was dead by the time officers arrived. RP 13. Harris explained that he had had been up for about a week on meth and owed the victim money for drugs. RP 21.

Harris was charged with first degree murder with a deadly weapon and faced a standard range of 240 to 320 months in prison, with an additional 48 months for the deadly weapon enhancement.

In 2012, as part of a heavily negotiated plea agreement,
Harris pled guilty to reduced charges of second degree murder
while armed with a deadly weapon (count 1) and first degree
robbery (count 2). RP 4, 10-11, 25; CP 11. The new
sentencing ranges were 142 to 244 months on count 1 and 41 to

54 months on count 2. CP 2.

The parties stipulated to a sentence of 220 months on the second degree murder, an additional 24 months for the deadly weapon enhancement, and 54 months on the robbery charge. RP 36-37; CP 4. The parties also agreed that the two counts would run concurrently for a total prison term of 244 months, about 20 years. *Id*.

The trial judge followed the agreement, stating:

Well, if this sentence was going to be two hundred and twenty months, including the twenty-four months, I wouldn't have followed it, because certainly it needs to be two hundred and twenty months plus twenty-four months. Total of two hundred and forty-four months, plus fifty-four months on Count II.

. . .

as a judge I am compelled and I am—I have to follow my oath as the Judge and follow the law and under these circumstances, they've reached this agreement of two hundred and forty-four months. I think it's appropriate under the circumstances.

RP 38-39.

In 2020, Harris filed a personal restraint petition (PRP), claiming that the mitigating qualities of youth were not considered at his sentencing in 2012. The Court of Appeals dismissed his PRP as frivolous. CP 28-33.

In 2022, ten years after his sentencing, Harris filed a direct appeal, claiming the same issue as in his PRP, that he was sentenced without the court considering mitigating qualities of youth and needed to be resentenced. The State moved to dismiss his appeal as untimely. Division Three denied the State's motion.

By way of a published opinion, the Court held that 1) the sentencing court failed to consider Harris's age, 2) the error was not harmless, and 3) Harris was not entitled to a resentencing but was entitled to the specific remedy of moving to withdraw his guilty plea. *See* App. A.

The State filed a motion for reconsideration which was denied. The State now brings this petition for review.

E. ARGUMENT WHY REVIEW SHOULD BE ACCEPTED

1. This Court should grant review under RAP 13.4(b)(4) so it can provide a proper interpretation of *Houston-Sconiers* in the context of an agreed plea recommendation.

This petition involves an issue of substantial public interest under RAP 13.4(b)(4). By the reasoning of the Court of Appeal's opinion, if a defendant can assert a failure to advise of appellate rights upon a guilty plea after losing a PRP, he can raise the exact same issue on direct appeal and win. This opens the floodgates for defendants who have lost a PRP under State v. Houston-Sconiers, 188 Wn.2d 1, 391 P.3d 409 (2017). The message from the Court of Appeals to defendants is that if you file an appeal after a negotiated guilty plea, because *Houston*-Sconiers was decided after your plea, you automatically have shown error and the remedy is to withdraw your guilty plea if the State can't prove the error was harmless. The number of long-settled convictions the lower court's opinion could "undo" is staggering. This Court should accept review to clarify that

you do not get to withdraw your plea simply because your plea and sentencing was before *Houston-Sconiers* was decided.

In the case at hand, Division Three Court set forth the terms that the State is likely to require from a juvenile defendant after Houston-Sconiers. Slip op. at 19. However, the case Division Three relied upon an unpublished 2020 case, State v. Ortiz, No. 81363-3-I, slip op. at 7-8 (Wash. Ct. App. June 15 2020), as an example of a plea agreement complying with Houston Sconiers. In that case, however, Houston-Sconiers was already decided. Harris's sentencing was in 2012, long before *Houston-Sconiers*. Division Three failed to explain what kind of record should have been made in 2012. The lower court merely cited to an example of what kind of record would be made today after Houston-Sconiers. The criminal attorneys, defendants, and judges in this State need real guidance as to what the standard of review is for an agreed sentence prior to *Houston-Sconiers*. The standard cannot be that the parties

comply with something that they had no way of complying with in the first place.

2. Under RAP 13.4(b)(1), Division Three's holding conflicts with *Houston-Sconiers* in finding that the case gives rise to error in Harris's 2012 negotiated plea agreement and sentence and that the error was not harmless.

The Court of Appeal's opinion discusses at length how raising mitigating factors at a future resentencing would breach the plea agreement. In fact, the Court stated that "For Mr. Harris at any resentencing to explicitly or implicitly seek a sentence of less than 244 months will breach his plea agreement." Slip op. at 10. At the same time, however, the court states that Harris is entitled to withdraw his plea because the court did not consider his age. This was a heavily negotiated agreed plea. The defendant got the sentence and reduced charge he wanted. There was no need to add anything about his age on the record, by anyone. As such, there was no error. Harris is not an aggrieved party. He got exactly what he wanted.

As such, the Court's opinion is in conflict with *Houston-Sconiers*. In that case, the judge heard mitigating evidence and expressed frustration with his inability to exercise greater discretion over the sentences imposed. 188 Wash.2d at 13. As a result, this Court ordered a resentencing. That facts here are much different. In fact, the judge here stated that he found the agreement between Harris and the State appropriate under the circumstances and that he would not have followed an agreement for a lesser sentence of 220 months. RP 38-39.

3. This Court should grant review under RAP 13.4(b)(1) and (2) because the opinion below conflicts with long-standing case law that a voluntary guilty plea intelligently made in light of then applicable law does not become vulnerable because of later judicial decisions.

This case conflicts with *Brady v. United States*, 397 U.S. 742, 90 S.Ct. 1463 (1970). *Brady* held that "[A] voluntary plea of guilty intelligently made in light of then applicable law does not become vulnerable because later judicial decisions indicate that the plea rested on a faulty premise." For example, under

this standard, a plea of guilty is not invalid merely because entered to avoid the possibility of a death penalty. 397 U.S. at 755. In *Brady*, the United States Supreme Court held that the fact that the defendant did not anticipate *United States v*. *Jackson*, 390 U.S. 570, 88 S.Ct. 1209 (1968) (decided nine years later), did not impugn the truth or reliability of the plea. The Court held that:

We find no requirement in the Constitution that a defendant must be permitted to disown his solemn admissions in open court that he committed the act with which he is charged simply because it later develops that the State would have had a weaker case than the defendant had thought or that the maximum penalty then assumed applicable has been held inapplicable in subsequent judicial decisions.

Id. at .757.

Under RAP 13.4(b)(1), Division Three's opinion also conflicts with this Court's opinion, *State v. Lamb*, 175 Wn.2d 121, 285 P.3d 27 (2012). In *Lamb*, the court relied on *Brady* in

finding that the failure to advise a defendant of his loss of firearm rights did not render the plea involuntary when the loss of that right was not a consequence at the time of the plea. *Id.* at 129. In *Lamb*, this Court held that "Whether a plea is voluntary is determined by ascertaining whether the defendant was sufficiently informed of the direct consequences of the plea that existed *at the time* of the plea." *Id.* at 129.

As applied here, Harris's plea was knowingly and intelligently made in light of then-applicable law. Post-plea changes in the law do not automatically render his admission invalid or unenforceable. His plea does not become vulnerable because a later judicial decision would have given him other tools with which to bargain with the State. This Court needs to clarify that a juvenile offender cannot withdraw a guilty plea based on a change in caselaw that occurred after the entry of the plea. The sole issue is whether the defendant was sufficiently informed of the direct consequences of the plea that existed at

the time of the plea. *Houston-Sconiers* does not justify setting aside an otherwise valid guilty plea.

Pursuant to RAP 13.4(b)(2), the opinion below also conflicts with the recent Division Two case of *State v. Olsen*, 530 P.3d 249 (2023) and the 2010 Division One case of *In re* Newlun, 158 Wash.App. 28 (2010). Like Brady, Olsen also held that a subsequent change in the law generally does not render a guilty plea not knowing, voluntary, or intelligent. 530 P.3d. at 253. In that case, Olsen tried to withdraw his guilty pleas to controlled substance charges after *Blake*. The court relied on Lamb and In re Newlun, 158 Wash.App. 28, 35, 249 P.3d 795 (2010) (("But, *Broce* makes it clear that 'a voluntary plea of guilty intelligently made in the light of the then applicable law does not become vulnerable because later judicial decisions indicate that the plea rested on a faulty premise.' " (internal quotation marks omitted) (quoting *United* States v. Broce, 488 U.S. 563, 572, 109 S. Ct. 757, 102 L. Ed. 2d 927 (1989))).

In the Division One case of *In Re Newlun*, the defendant pled guilty to a number of identity theft and forgery convictions. 158 Wash.App. at 29. After his pleas, this Court addressed the unit of prosecution for identify theft in *State v*. *Leyda*, 157 Wash.2d 335, 337038, 138 P.3d 610 (2006). The Court of Appeals relied on both *Brady* and *Broce* in finding that the defendant waived his ability to challenge his convictions on double jeopardy grounds by pleading guilty. *Newlun*, 158 Wash.App. at 36. As applied here, Harris has waived his right to challenge his pleas because he knowingly, intelligently, and voluntarily pled guilty under the applicable law at the time.

F. CONCLUSION

For the above reasons, this Court should grant the State's Petition for Review. Under RAP 13.4 (b)(1) and (2), the lower court opinion conflicts with United States case law, decisions of this Court, and decisions of lower appellate courts in this State. In addition, under RAP 13.4(b)(4) an opinion is needed to guide

future cases in the context of an agreed plea and sentence prior to *Houston-Sconiers*.

WORD COUNT CERTIFICATION

This document contains 2076 words, excluding the parts of the document exempted from the word count by RAP 18.17.

Respectfully submitted this 24th day of August, 2023,

s/Tamara A. Hanlon
TAMARA A. HANLON, WSBA # 28345
Senior Deputy Prosecuting Attorney
Yakima County, Washington

DECLARATION OF SERVICE

I, Tamara A. Hanlon, state that on August 24, 2023, I emailed a copy of STATE'S PETITION FOR REVIEW via the portal to Jeffrey Erwin Ellis.

I certify under penalty of perjury under the laws of the state of Washington that the foregoing is true and correct.

DATED this 24th day of August, 2023 at Yakima, Washington.

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APPENDIX A

Tristen L. Worthen Clerk/Administrator

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CASE # 382176
State of Washington v. Darren Stanley Harris
YAKIMA COUNTY SUPERIOR COURT No. 111009454

Counsel:

Enclosed is a copy of the order denying the State's motion for reconsideration and granting the State's motion for clarification of this court's April 27, 2021 opinion.

A party may seek discretionary review by the Washington Supreme Court of a Court of Appeals' decision. RAP 13.3(a). A party seeking discretionary review must file a petition for review in this court within 30 days after the attached order on reconsideration is filed. RAP 13.4(a). Please file the petition electronically through the court's e-filing portal. The petition for review will then be forwarded to the Supreme Court. The petition must be <u>received</u> in this court on or before the date it is due. RAP 18.5(c).

If the party opposing the petition for review wishes to file an answer, that answer should be filed in the Supreme Court within 30 days of the service on the party of the petition. RAP 13.4(d). The address of the Washington Supreme Court is: Temple of Justice, P.O. Box 40929, Olympia, WA 98504-0929.

Sincerely,

Tristen Worthen Clerk/Administrator

TLW:jab Attachments (2)

c: Darren Stanley Harris, #360815 c/o Jeffrey Erwin Ellis 621 SW Morrison St Ste 1025 Portland, OR 97205-3813 E-mail

FILED
JULY 25, 2023
In the Office of the Clerk of Court
WA State Court of Appeals Division III

COURT OF APPEALS, DIVISION III, STATE OF WASHINGTON

STATE OF WASHINGTON) No. 38217-6-III
Respondent,))) ORDER DENYING MOTION
V.) FOR RECONSIDERATION,
v.) GRANTING MOTION FOR
DARREN STANLEY HARRIS,) CLARIFICATION AND) WITHDRAWING OPINION FILED
Appellant.) APRIL 27, 2023

THE COURT has considered the State's motions for reconsideration and clarification, the response thereto, and the file herein, and is of the opinion the motions should be denied in part and granted in part. Therefore,

IT IS ORDERED, the motion for reconsideration of this court's decision of April 27, 2023, is hereby denied, and the motion for clarification of this court's decision of April 27, 2023, is hereby granted.

IT IS FURTHER ORDERED, the court's opinion filed April 27, 2023, is hereby withdrawn and a new opinion will be filed this day.

PANEL: Judges Siddoway, Fearing, Lawrence-Berrey

FOR THE COURT:

George B. Fearing

Chief Judge

FILED
JULY 25, 2023
In the Office of the Clerk of Court
WA State Court of Appeals Division III

IN THE COURT OF APPEALS OF THE STATE OF WASHINGTON DIVISION THREE

STATE OF WASHINGTON,)	
)	No. 38217-6-III
Respondent,)	
)	
V.)	
)	
DARREN STANLEY HARRIS,)	PUBLISHED OPINION
)	
Appellant.)	

SIDDOWAY, J. — Darren Harris, having been granted an extension of time to file a direct appeal of his 2012 convictions of second degree murder and first degree robbery, asks us to order resentencing. He points out that he was only 17 years old at the time of the offenses, and since *State v. Houston-Sconiers*, 188 Wn.2d 1, 391 P.3d 409 (2017), had not yet been decided, the sentencing court failed to consider the mitigating factors of youth before imposing his sentence.

Mr. Harris's convictions were the result of a negotiated guilty plea, however, and the State contends that Mr. Harris agreed to the sentence terms that were recommended by both parties. The State argues that if Mr. Harris asks a resentencing court to consider mitigating qualities of his youth, it will be a breach, entitling the State to rescind the plea agreement.

Mr. Harris's counsel responded that it was not clear Mr. Harris had bound himself to support the State's sentencing recommendation, as a result of which we ordered a reference hearing that has confirmed the State's characterization of the plea agreement.

Given that this is a direct appeal, Mr. Harris is entitled to a remedy unless the State can demonstrate constitutional harmless error, which it fails to do. We conclude that Mr. Harris is entitled to a remedy, but the appropriate remedy is a ruling that Mr. Harris may move to withdraw his guilty plea.

FACTS AND PROCEDURAL BACKGROUND

The State charged Mr. Harris with first degree murder and a deadly weapon enhancement for a fatal stabbing committed in 2011, less than two months before Mr. Harris's 18th birthday. Given Mr. Harris's criminal history of one prior conviction (a juvenile third degree assault), he faced a standard range of 240 to 320 months in prison, with an additional 48 months for the deadly weapon enhancement.

The parties engaged in plea negotiations that resulted in Mr. Harris pleading guilty a little over a year later to a reduced charge of second degree murder while armed with a

deadly weapon, and first degree robbery. The standard ranges were 142 to 244 months for the murder and 41 to 54 months for the robbery. The parties agreed to recommend a sentence of 220 months for the second degree murder, with 24 months for the deadly weapon enhancement, and 54 months for the robbery, to run concurrently. The result would be total confinement of slightly over 20 years, rather than what could have been as much as an almost 31-year standard range sentence as originally charged.

At the plea hearing, the prosecutor was asked about the proposed amendment to the information and answered that "it's based on the resolution that we reached," which "would be an agreed recommendation." Rep. of Proc. (RP) at 4-5. Defense counsel did not object to the "agreed recommendation" characterization.

Mr. Harris confirmed to the judge that he had provided the following statement of his crime, and that it was true:

[O]n July 2nd, 2011, in Yakima County, State of Washington, I stabbed to death Luis Negrete Morales while we were sitting in the front seat of his vehicle while parked in the driveway of my mother's home. I then took his wallet for the money in it, without the permission of Luis Negrete Morales.

RP at 12. The prosecutor supplemented that summary with additional information. He told the court that Mr. Morales had been discovered fatally wounded in his truck outside a home at around 4:45 a.m. He had been stabbed approximately 21 times, with 15 of the wounds around the face and neck area. Six wounds in the left arm area were identified in the autopsy as defensive wounds.

Mr. Harris and a woman, Marissa Vega, quickly became suspects based on pictures found on Mr. Morales's phone. Mr. Harris and Ms. Vega were located in Yakima and arrested, and provided statements in which they admitted they were with Mr. Morales, drinking and doing drugs, on the evening of July 1 into the morning of July 2. Mr. Harris provided two differing versions of how he inflicted Mr. Morales's wounds, but admitted he inflicted them. He admitted that afterward, he had taken Mr. Morales's wallet, which contained \$60.

Sentencing followed immediately after the court accepted Mr. Harris's guilty plea. The prosecutor reiterated as his sentencing recommendation that "[w]e have agreed . . . on the two hundred- and forty-four-month range." RP at 37. Again, defense counsel did not object to the characterization of the recommendation as "agreed." Asked for the defense recommendation, defense counsel said, "Your Honor, we would urge the Court to follow the recommendation. This was a heavily negotiated plea." RP at 38. He pointed out that Mr. Harris had been "caught immediately," that "[t]here's been a great deal of heavy litigation about this negotiation," and "[c]onsidering the totality of the circumstances, we think this is an appropriate charge and sentence for that charge." *Id*. Mr. Harris declined to make any statement.

The judge imposed the recommended sentence. Mr. Harris did not timely appeal.

PERSONAL RESTRAINT PETITION AND APPEAL

In April 2020, Mr. Harris filed a personal restraint petition (PRP) seeking a resentencing at which the mitigating qualities of youth could be considered, in light of *State v. Houston-Sconiers* and *State v. Ramos*, 187 Wn.2d 420, 387 P.3d 650 (2017). This court dismissed the petition as frivolous, reasoning that *Houston-Sconiers* did not apply retroactively and, even if it did, Mr. Harris had provided no mitigating evidence. The Supreme Court denied review, affirming this court's opinion. The high court's deputy commissioner observed, as this court's acting chief judge had, that Mr. Harris had presented "essentially no evidence at all" that the sentencing court would have exercised its discretion to depart from the standard range or the mandatory weapon enhancement in light of *Houston-Sconiers*. Ruling Den. Review, *In re Pers. Restraint of Harris*, No. 98675-4, at 2 (Wash. Aug. 18, 2020).

Mr. Harris then filed a notice of appeal. He responded to the State's motion to dismiss it as untimely with a motion to extend time for filing, supported by a declaration explaining that he was never told he had a right to an appeal and was instead advised that he had no right to appeal a standard range sentence. Because the State could not

¹ Order Dismissing Pers. Restraint Pet., *In re Pers. Restraint of Harris*, No. 37530-7-III (Wash. Ct. App. June 2, 2020) (on file with court).

² Ruling Den. Review, *In re Pers. Restraint of Harris*, No. 98675-4 (Wash. Aug. 18, 2020) (on file with court).

demonstrate that Mr. Harris understood his right to appeal and made a knowing, voluntary, and intelligent waiver of that right, Mr. Harris's motion was granted.

ARGUMENT ON APPEAL AND REFERENCE HEARING

Mr. Harris's opening brief on appeal assigned error to the fact that he was sentenced "without the constitutionally required consideration of the mitigating qualities of youth." Appellant's Opening Br. at 1. He asked us to "reverse, vacate [his] sentence, and remand for resentencing." *Id.* at 8. The State responded that by agreeing to a specific sentence within the standard range, Mr. Harris implicitly agreed not to seek a lesser sentence or exceptional sentence, and for Mr. Harris to present evidence and argument in support of mitigation would undermine the agreed-upon sentencing recommendation. It argued that in order for Mr. Harris to obtain his desired remedy, he must first move to withdraw his guilty plea. In reply, Mr. Harris argued that while the State had shown that he joined in the State's sentence recommendation, it failed to show that he ever bound himself in a way that would limit his freedom to seek a lesser sentence.

The panel requested additional briefing and thereafter ordered a reference hearing at which the court was directed to determine whether in communications leading to the guilty plea, Mr. Harris had signified agreement to join in the State's sentencing recommendation and not seek a lesser sentence. We directed the reference hearing court to identify the evidence supporting its findings.

Following a hearing at which the reference hearing court was presented with declarations from the trial attorneys, their written communications leading to the plea agreement, and a declaration and live testimony from Mr. Harris, the reference hearing court found that Mr. Harris *had* signified agreement to join in the State's recommendation and not seek a lesser sentence. Among the evidence identified by the court as supporting the findings was the declaration from Mr. Harris's trial lawyer in which he stated that he believed it would have been a breach of the plea agreement to argue for a sentence below the State's 244-month recommendation.

ANALYSIS

I. THE PARTIES AGREED TO JOINTLY RECOMMEND A SENTENCE OF TOTAL CONFINEMENT OF 244 MONTHS

Under CrR 4.2(e), "[t]he nature of the plea agreement and the reasons for the agreement shall be made a part of the record at the time the plea is entered."

RCW 9.94A.431(1) provides that the prosecutor and the defendant "shall at the time of the defendant's plea state to the court, on the record, the nature of the agreement and the reasons for the agreement." While a written statement on plea of guilty is required, there is no requirement for a written plea agreement.

At the time Mr. Harris entered his plea, the nature of the agreement was never described as anything *but* an agreed recommended sentence. Appellate counsel's argument that Mr. Harris nevertheless might not have bound himself not to seek a lesser

sentence was good cause for ordering a reference hearing, however. That argument has been refuted by the reference hearing findings.

II. THE APPROPRIATE REMEDY IS TO RECOGNIZE THAT MR. HARRIS IS ENTITLED TO MOVE TO WITHDRAW HIS GUILTY PLEA

At the time of the 2012 sentencing, Mr. Harris's youth was potentially relevant and could have been considered

Under the law in effect at the time of the parties' 2012 negotiations and plea agreement, Mr. Harris's youth could have been urged as relevant to sentencing. RCW 9.94A.535(1)(e) had always provided the opportunity to raise youth for the purpose of requesting an exceptional sentence downward, and mitigation based on youth was within the trial court's discretion. *In re Pers. Restraint of Light-Roth*, 191 Wn.2d 328, 336, 422 P.3d 444 (2018). In its 1997 decision in *State v. Ha'mim*, 132 Wn.2d 834, 940 P.2d 633, *abrogated in part by State v. O'Dell*, 183 Wn.2d 680, 358 P.3d 359 (2015), the Washington Supreme Court had held that age *per se* was not a mitigating factor, but it could be relevant to whether the defendant's capacity to appreciate the wrongfulness of his or her conduct or conform that conduct to the requirements of the law was significantly impaired. *Light-Roth*, 191 Wn.2d at 334 (citing *Ha'mim*, 132 Wn.2d at 847). What was required was that youthfulness relate to the commission of the crime. *Id.* at 336 (citing *Ha'mim*, 132 Wn.2d at 846).

At the time of the State's and Mr. Harris's negotiation, the United States Supreme Court had already decided *Roper v. Simmons*, 543 U.S. 551, 569-70, 125 S. Ct. 1183, 161

L. Ed. 2d 1 (2005), *Graham v. Florida*, 560 U.S. 48, 76, 130 S. Ct. 2011, 176 L. Ed. 2d 825 (2010), and *Miller v. Alabama*, 567 U.S. 460, 481, 132 S. Ct. 2455, 183 L. Ed. 2d 407 (2012), in which the Court had discussed modern brain science and its ramifications for youthful culpability. It had been more than 7 years since *Roper* had described three differences between juveniles under 18 and adults that demonstrate juveniles' reduced culpability: an underdeveloped sense of responsibility that "often result[s] in impetuous and ill-considered actions and decisions'"; juveniles' greater vulnerability or susceptibility to negative influences and outside pressures; and the fact that juveniles' character and personality traits are more transitory, less fixed. *Roper*, 543 U.S. at 569-70 (quoting *Johnson v. Texas*, 509 U.S. 350, 367, 113 S. Ct. 2658, 125 L. Ed. 2d 290 (1993)). These differences meant, according to the Supreme Court, that the irresponsible conduct of a juvenile "is not as morally reprehensible as that of an adult," giving them "a greater claim than adults to be forgiven." *Id.* at 570.

The State submits that given then-existing law, and "a plea agreement that reduce[d] the charge from first to second degree murder, it is highly likely that the defendant's youth and culpability were factors in the heavily negotiated plea agreement." Suppl. Br. of Resp't at 2.

For Mr. Harris to seek a sentence of less than 244 months will breach his plea agreement

For Mr. Harris at any resentencing to explicitly or implicitly seek a sentence of less than 244 months will breach his plea agreement, and the State is clear on appeal that it will elect to rescind the agreement.³

"'Plea agreements are contracts.'" *State v. Sledge*, 133 Wn.2d 828, 838, 947 P.2d 1199 (1997) (quoting *State v. Mollichi*, 132 Wn.2d 80, 91, 936 P.2d 408 (1997)). In *Sledge*, the Washington Supreme Court held that "[j]ust as there is an implied duty of good faith and fair dealing in every contract," the law imposed an implied promise by the State to act in good faith in the plea agreement it had made in that case. *Id.* at 839. The duty of good faith includes the obligation to make a promised sentencing recommendation. *Id.* at 840. Of this duty, the court in *Sledge* explained:

³ The State has the option, if a defendant breaches a plea agreement, to specifically enforce or rescind the agreement. *State v. Thomas*, 79 Wn. App. 32, 36-37, 899 P.2d 1312 (1995). If the State wished to specifically enforce a plea agreement under the circumstances presented here, its briefing would need to address how, consistent with specific enforcement, the defendant can have his desired resentencing at which *Houston-Sconier*'s substantive and procedural rules will be applied. *And see State v. Gilbert*, 193 Wn.2d 169, 176-77, 438 P.3d 133 (2019). Specific enforcement would have to be consistent with the defendant's understanding of the plea agreement's consequences at the time of the entry of the plea. *Thomas*, 79 Wn. App. at 39. For a plea agreement made before *Houston-Sconiers*, a defendant could not have understood at the time of the plea that anything in his agreement was waiving what were as-yet unannounced Eighth Amendment to the United States Constitution rights.

In this case, the State did not address these thorny issues. Instead, it opposed resentencing as undermining Mr. Harris's plea agreement, thereby signaling its intention to elect rescission.

The recommendation need not be made "enthusiastically." The prosecutor, as an officer of the court, is obliged to participate in the sentencing proceedings, candidly answering the court's questions in accordance with RPC 3.3, and holding back no relevant information regarding the plea agreement. *See*, *e.g.*, RCW 9.94A.460 (State may not agree to withhold relevant information from court regarding plea agreement).

At the same time, however, the State has a concomitant duty not to undercut the terms of the agreement explicitly or by conduct evidencing an intent to circumvent the terms of the plea agreement.

Id. at 840 (citation omitted).

The State argued in *Sledge* that it had not breached its agreement to recommend a standard range confinement because evidence of Sledge's "unremitting criminality" that it presented through two witnesses was cumulative of information that would already be before the court at sentencing. *Id.* at 832, 842. This court was unpersuaded that it was not a breach, explaining that "if it was the State's purpose to have the trial court adopt its standard range recommendation," there was no need to put the additional information about aggravating circumstances before the court. *Id.* at 842.

The standard for determining whether a plea agreement is breached is an objective one. *State v. Van Buren*, 101 Wn. App. 206, 213, 2 P.3d 991 (2000); *see also Sledge*, 133 Wn.2d at 843 n.7 ("The focus of this decision is on the effect of the State's actions, not the intent behind them."). "The test is whether the prosecutor contradicts, by word or conduct, the State's recommendation for a standard range sentence." *State v. Jerde*, 93 Wn. App. 774, 780, 970 P.2d 781 (1999) (citing *State v. Talley*, 134 Wn.2d 176, 187, 949 P.2d 358 (1998)).

A defendant owes the same duty of good faith performance of a plea bargain. The defendant will breach an agreement that his criminal history is correctly stated and scored if he takes the position at sentencing that his out-of-state convictions are not comparable to Washington crimes and that "it [is] up to the court to calculate the offender score correctly, notwithstanding his plea agreement." *State v. Collins*, 144 Wn. App. 547, 552, 182 P.3d 1016 (2008). When this occurred in *Collins*, the trial court properly allowed the State to rescind the plea agreement and reinstate the defendant's original charge. *Id.* at 553.

Washington case law includes a number of examples of *the State* nominally abiding by a sentencing recommendation but undercutting it in substance. *E.g.*, *Van Buren*, 101 Wn. App. at 216-17 (once the State sensed the court was considering an exceptional sentence, it downplayed the agreed recommendation and pointed out aggravating factors); *State v. MacDonald*, 183 Wn.2d 1, 20, 346 P.3d 748 (2015) (investigating officer's unsolicited testimony attacked each point favoring the plea agreement); *State v. Carreno-Maldonado*, 135 Wn. App. 77, 80-81, 86, 143 P.3d 343 (2006) (where prosecutor spoke at sentencing of the "heinous" and "violent" crimes against "vulnerable" victims and justified it as being "on behalf of the victims," the advocacy was a breach).

Washington case law addressing a defendant's breach of a plea agreement predominantly involves other sorts of breaches, but cases from other jurisdictions provide

examples of the government's right to rescind when the defendant breaches a commitment to a sentencing range. In *United States v. Yusuf*, 993 F.3d 167 (3d Cir. 2021), for example, two defendants were found to have breached the terms of plea agreements under which they agreed not to argue for a sentence outside the range recommended by the United States Sentencing Guidelines. The first defendant, Campbell, argued that he did not breach the agreement because he simply encouraged the court to apply factors the sentencing court was permitted to consider under 18 U.S.C. § 3553(a), without raising any issue as to the guidelines' range. The Third Circuit found the argument "wholly unpersuasive," explaining:

"[N]othing in the plea agreement prevented the District Court from departing downwardly or imposing a non-Guideline sentence on its own accord. The plea agreement did not purport to restrict the Court's duty to consider the § 3553 factors. Rather, the agreement merely prohibited [the defendant] from making arguments regarding those issues. If [the defendant] wanted to make a departure argument, it would have been prudent to negotiate a different agreement with the government."

Yusuf, 993 F.3d at 178-79 (alterations in original) (quoting *United States v. Williams*, 510 F.3d 416, 425-26 (3d Cir. 2007)).

The circumstances of the second defendant, Yusuf, presented a closer case, because Yusuf's breach was to call attention to the very low sentence received by his coconspirator, which was a fact the sentencing court was entitled to know and consider. The Third Circuit still found a breach, however:

[H]ere Yusuf did more than merely present a fact. He went on to affirmatively advocate for a sentence below the agreed upon guidelines range. The distinction may be a fine one, but it is important. Had Yusuf only informed the District Court of [his coconspirator]'s sentence and reminded the Court that he was bound by the plea agreement, the Court may well have intuited the argument that was left unsaid. But leaving it unsaid is the difference between breaching and not breaching the agreement.

Id. at 181 (citation omitted).

Having agreed to make a joint recommendation of a 244-month sentence, Mr. Harris would be in breach, and the State would be entitled to rescind, if Mr. Harris were to present evidence or argument at a resentencing that qualities of his youth at the time of the crime made 244 months a disproportionate sentence.

A resentencing that complies with Houston-Sconiers not only presents a prospect of breach, but also substantially frustrates the State's purpose in making the agreement

In *Houston-Sconiers*, the Washington Supreme Court held that the Eighth

Amendment to the United States Constitution requires criminal courts to recognize that
certain sentences routinely imposed on adults may be disproportionately harsh when
imposed on youth, requiring that courts dealing with juveniles exercise sentencing
discretion. Extending reasoning that the United States Supreme Court had applied in *Roper*, *Graham*, and *Miller*, *Houston-Sconiers* held that "sentencing courts must have
complete discretion to consider mitigating circumstances associated with the youth of any

juvenile defendant" and "to impose any sentence below the otherwise applicable SRA^[4] range and/or sentencing enhancements." *Houston-Sconiers*, 188 Wn.2d at 21. It held that the court must affirmatively consider "age and its 'hallmark features." *Id.* at 23. Courts must also consider how environmental factors and circumstances affected the juvenile's participation in the crime, any legal defense, and any factors suggesting the child might be successfully rehabilitated. *Id.*

A new rule for the conduct of criminal prosecutions is to be applied retroactively to all cases, state or federal, pending on direct review or not yet final, with no exception for cases in which the new rule constitutes a clear break from the past. *State v. Evans*, 154 Wn.2d 438, 444, 114 P.3d 627 (2005) (quoting *In re Pers. Restraint of St. Pierre*, 118 Wn.2d 321, 326, 823 P.3d 492 (1992) (citing *Griffith v. Kentucky*, 479 U.S. 314, 328, 107 S. Ct. 708, 93 L. Ed. 2d 649 (1987), and *Teague v. Lane*, 489 U.S. 288, 311, 109 S. Ct. 1060, 103 L. Ed. 2d 334 (1989))). Accordingly, it is to be applied retroactively to Mr. Harris's case. The State does not dispute that the consideration of Mr. Harris's age required by *Houston-Sconiers* did not occur at Mr. Harris's sentencing, but it argues that the error was harmless, and alternatively, that the remedy to which Mr. Harris is entitled to move to withdraw his guilty plea.

The State is unable to show that the error was harmless. Harmless-error review for a constitutional sentencing error turns on whether the error "'was harmless beyond a

⁴ Sentencing Reform Act of 1981, ch. 9.94A RCW.

reasonable doubt' in that it 'did not contribute to the [sentence] obtained." *Sochor v. Florida*, 504 U.S. 527, 539, 112 S. Ct. 2114, 119 L. Ed. 2d 326 (1992) (alteration in original) (quoting *Chapman v. California*, 386 U.S. 18, 24, 87 S. Ct. 824, 17 L. Ed. 2d 705 (1967)). Stated another way, harmless-error review for a sentencing error requires a determination of whether the error "would have made no difference to the sentence." *Parker v. Dugger*, 498 U.S. 308, 319, 111 S. Ct. 731, 112 L. Ed. 2d 812 (1991). In this case, we have no way to know what information the sentencing court's compliance with *Houston-Sconiers* would have yielded, and therefore no way to assess whether it would have made a difference.

While Mr. Harris demonstrates that application of *Houston-Sconiers* to his late appeal gives rise, retroactively, to error, the contractual remedy a defendant desires in the plea agreement context is not always the appropriate remedy. *E.g.*, *State v. Barber*, 170 Wn.2d 854, 873, 248 P.3d 494 (2011) (When, as a result of mutual mistake, a plea agreement commits the parties to an illegal sentence, withdrawal of the defendant's plea is the only remedy.). There are two reasons why ordering resentencing is not an appropriate remedy in this case.

First, Mr. Harris has failed to explain how a resentencing would be conducted that would not place him at risk of breaching and losing his favorable plea agreement. An unpublished 2021 opinion from Division Two of this court involving a somewhat-similarly-situated defendant illustrates the foreseeable problems in any remand. The

appellant in that case, Christopher Holt, committed murder as a juvenile in 2008. *State v. Holt*, No. 53122-4-II, slip op. at 1 (Wash. Ct. App. July 20, 2021) (unpublished).⁵ He agreed to plead guilty to second degree murder and was a party to a jointly agreed 216-month sentencing recommendation that was followed by the court. *Id.* After *Houston-Sconiers* was decided, Holt filed a CrR 7.8 motion seeking resentencing. *Id.* at 3, 6. His motion was denied and he filed an appeal that a Division Two panel converted for consideration as a PRP. In the trial court, Holt had argued that after *Houston-Sconiers*, he was entitled to be "resentenced at a hearing in which he is allowed to present witness testimony and other evidence of his youth and his post-conviction behavior," at the same time insisting that he would avoid breaching his plea agreement by continuing to recommend a 216-month sentence. *Id.* at 11.

As Judge Anne Cruser observed in a concurring opinion, Holt's assertion that he did not plan to seek a different sentence from the sentence agreed in 2008,

strains credulity. As part of his CrR 7.8 motion, he submitted a letter to the trial court from his wife Rebecca in which she asked the trial court to change Holt's sentence. She said, "I ask that you please let him come home to his wife and his kids." Holt himself, in his letter to the trial court, suggested that . . . he might receive a different sentence when he said "And even if I must finish my remaining 7 years"

 $^{^5}$ Available at https://www.courts.wa.gov/opinions/pdf/D2%2053122-4-II %20Unpublished%20Opinion.pdf.

As an unpublished opinion, *Holt* has no precedential value, is not binding on any court, and is cited only as illustrative of the prospect that resentencing will result in a breach. *See* GR 14.1.

Id. at 16 n.10 (Cruser, J., concurring) (record citations omitted). Both the majority and the concurring opinions observed that the State would presumably be entitled to respond with aggravating considerations, resulting in a process "clearly not in line" with the parties' 2008 agreement. *Id.* at 13, 19 n.12. The majority held that for Holt to obtain his desired remedy he needed to withdraw his guilty plea; Judge Cruser would have held (albeit in the context of a PRP) that Holt had not demonstrated entitlement to any Eighth Amendment remedy. *Id.* at 11, 17.

A second reason why resentencing is not an appropriate remedy is that the State should not be required to go forward with a sentencing process materially different from what the parties bargained for in 2012.

Mr. Harris is demanding a resentencing at which he will receive "meaningful consideration of youth," which he contends requires consideration of his

immaturity, impetuosity, and failure to appreciate risks and consequences—the nature of [his] surrounding environment and family circumstances, the extent of [his] participation in the crime, the way familial and peer pressures may have affected him, . . . how youth impacted any legal defense, and any factors suggesting that [he] might be successfully rehabilitated.

Appellant's Opening Br. at 4-5.

A party to a contract is entitled to rescind when an extraordinary circumstance makes performance so vitally different from what was reasonably to be expected as to alter the essential nature of that performance. *Wash. State Hop Producers, Inc.*

Liquidation Tr. v. Goschie Farms, Inc., 51 Wn. App. 484, 488, 754 P.2d 139 (1988), aff'd, 112 Wn.2d 694, 773 P.2d 70 (1989). The contractual purpose that is frustrated must have been a principal purpose of the party without which the transaction would make little sense. Wash. State Hop Producers, Inc., Liquidation Tr. v. Goschie Farms, Inc., 112 Wn.2d 694, 701, 773 P.2d 70 (1989) (citing RESTATEMENT (SECOND) OF CONTRACTS § 265, cmt. (a) (Am. Law Inst. 1979)). An "agreement" under which Mr. Harris could exact a 10-year reduction in his recommended sentence based on mitigating factors and retain the right to re-argue mitigation as a basis for further reduction by the sentencing court would—for the State—make no sense.

An unpublished opinion from Division One of this court provides an example of the different sort of terms the State is likely to require from a juvenile defendant after *Houston-Sconiers. See State v. Ortiz*, No. 81363-3-I, slip op. at 7-8 (Wash. Ct. App. June 15, 2020).⁶ Ortiz was charged with a first degree murder committed when he was 17 years old. Recognizing that the sentencing court would be required to consider mitigating factors, the plea agreement contemplated that Ortiz would argue for a sentence of the low end of the sentencing range, while the State would argue for a high-end sentence. *Id.* at 2-3. The parties agreed that Ortiz would not seek an exceptional

⁶ Available at https://www.courts.wa.gov/opinions/pdf/813633.pdf.

Again, as an unpublished opinion, *Ortiz* has no precedential value, is not binding on any court, and is cited only as illustrative of plea agreement terms agreed with a juvenile following *Houston-Sconiers*. *See* GR 14.1.

downward departure based on any of the factors set out in *Houston-Sconiers*, and the State represented to the court:

The State and defense negotiated the present plea resolution that allows the State to argue for high end and [Ortiz] to argue for low end of the standard range. The court should understand, however, the so-called *Houston-Sconiers* factors (related to [Ortiz's] youth) have been specifically considered by the State and defense in negotiating the case and the defense has agreed not to use these factors as a basis for recommending an exceptional sentence downward.

Id. at 7-8 (alterations in original). The Division One panel observed in affirming Ortiz's sentence that "[i]t is well established that a defendant may waive the ability to request an exceptional sentence as a part of a plea agreement." *Id.* at 8 (citing *State v. Lee*, 132 Wn.2d 498, 506, 939 P.2d 1223 (1997)).

We will not order a requested remedy that invites a breach by Mr. Harris and substantially frustrates the State's purpose in negotiating his guilty plea.

The appropriate remedy in this case is to recognize Mr. Harris's right to move to withdraw his guilty plea

Mr. Harris is not without an adequate remedy. Now that he knows we will not order resentencing, he is entitled to the opportunity to move to withdraw his plea. *Cf. State v. Walsh*, 143 Wn.2d 1, 6-7, 17 P.3d 591 (2001) (defendant's right to move to withdraw his plea was not waived where he was pursuing his hoped-for remedy of specific performance) (citing *State v. Skiggn*, 58 Wn. App. 831, 795 P.2d 169 (1990)).

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We hold that Mr. Harris will not waive his remedy of moving to withdraw his plea if he moves to withdraw it within 60 days of the filing of the mandate.

Affirmed.

Siddoway, J.

WE CONCUR:

Fearing, C.J.

Lawrence-Berrey, J.

YAKIMA COUNTY PROSECUTING ATTORNEY'S OFF

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